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Docket No: 3801P001C3

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#5p 8-19-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hess et al.

Application No: 09/777,306

Filed: February 5, 2001

For:

Information Presentation and Management in an Online Trading

Environment

Examiner: Not Yet Assigned

Art Unit: 2754 RECEIVED

FEB 1 0 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The I	(a) within three momenths of the date	ure Statement is being and the of the filing date of of entry into the national cation, or (c) before the r	the patent app I stage as set fo	orth in 37 C.F	R. § 1.491 of the		
	after (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:						
	the Certific	ation under 37 C.F.R. §	1.97(e) (see "C	ertification"	below).		
	the fee of \$	180 set forth in 37 C.F.R	R. § 1.17(p) (see	e "Fees" belo	ow).		
	after the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).						
Copie	es Of The Reference	es					
⊠ [*]	Copies of all refere Attached to each re	ences listed on the enclose ference not in the English to 37 C.F.R. § 1.98(a)(3)	sh language is a				
	A copy of the forei	gn search report is enclo	sed herewith.				
	parent application of at that time. Accor so as not to burden respectfully request requirements set of 37 C.F.R. § 1.98(d)	d on the enclosed Form of the present application dingly, additional copies the file with duplicate copies ted to carefully review that in the Manual of Pater 1), the details of the parent C. § 120 in which copies	n, and copies of s of the reference opies of reference ne references in at Examining P at application(s)	the references are not sunces. The Exaccordance rocedure. In prelied upon	es were furnished abmitted herewith, caminer is with the accordance with for an earlier filing		
	U.S. APPLICATIONS		Status (check one)				
1.	.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED		
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Docket No.: 3801P001C3 Application No.: 09/777,306

Othe	r Applications						
	The Examiner's at	tention is directed to the	following U.S.	patent appli	cation(s):		
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1.							
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Certi	Information Disclo	attorney hereby certifies osure Statement was cited part foreign patent application Disclosure Statem	d in a communi ation not more	cation from	a foreign patent		
	The undersigned attorney hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.						
Petiti	The undersigned a Disclosure Stateme	ttorney hereby petitions tent and the references list above-identified patent	ted on the encl				
Fees		the applicant(s). 80.00 under 37 C.F.R. § Strict Street 130.00 set forth in 37	•				
Meth	od Of Payment Of	Fees					
	Charge Deposit Ac	k in the amount of \$ 180. count No. 02-2666 in the enclosed for that purpose	e amount of \$. (A du	plicate copy of th		

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Authorization To Charge Additional Fees If any additional fees are owed in connection with this communication, please charge Deposit Account No. 02-2666. (A duplicate copy of this communication is enclosed for that purpose.) Instructions As To Overpayment Credit Account No. 02-2666. Refund Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Date: 2⋅3⋅03 Libby Hope Reg. 46, 774

12400 Wilshire Blvd., 7th Floor Los Angeles, CA 90025-1026 (303) 740-1980 (303) 740-6962 (facsimile)

(37 C,F,R, § 1.8 (2))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the US Patent and Trademark Office, Washington, DC 20231.

on February 3, 2003

| Date of Deposit | Lah Schwenke |
| Name of Person Mailing Correspondence |
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FIRST CLASS CERTIFICATE OF MAILING

Docket No.: 3801P001C3 Application No.: 09/777,306

US Department of Commerce Patent and Trademark Office				Atty. Docket No.: 3801P001C3		Application No.: 09/777,306	
				Applicant: Hess et al.			
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		08	\ US Pate	ent Documents			
Examiner's			Document			Sub-	
Initials		Date	Number	Name	Class	Class	Filing Date
		09/07/99	5,948,061	Merriman			
		10/03/00	6,128,649	Smith et al.			
		05/16/00	6,065,041	/Lum et al.			
		07/31/01	6,269,238 B1	/ Iggulden			
		05/30/00	6,070,125	/ Murphy et al.			
		11/16/99	5,986,662	/ Argiro et al.			
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International Search Report, PCT/US01/18225, mailed 09/05/01							
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Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through the citation if not in conformance and not considered. Include a copy of this form with the next communication to the applicant